

FORM NO. MGT 9			
EXTRACT OF ANNUAL RETURN			
As on Financial Year Ended on 31.03.2017			
Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.			
I	REGISTRATION & OTHER DETAILS:		
i)	CIN	U65910UP1972SGC003525	
ii)	Registration Date	29/03/1972	
iii)	Name of the Company	THE PRADESHIYA INDUSTRIAL AND INVESTMENT CORPORATION OF UTTAR PRADESH LIMITED	
iv)	Category/Sub-category of the Company	Category-COMPANY LIMITED BY SHARE, Sub-Category-State Govt. Company	
v)	Address of the Registered office & contact details	PICUP, PICUP BHAWAN, GOMTI NAGAR LUCKNOW U.P. Lucknow - 226010 INDIA	
vi)	Whether listed company	NO	
vii)	Name , Address & contact details of the Registrar & Transfer Agent, if any.	NA	
II	PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY		
	All the business activities contributing 10% or more of the total turnover of the company shall be stated		
Sl No.	Name & Description of main products/services	NIC Code of the Product /service	% to total turnover of the company
i.	Other credit granting	6492	

III PARTICULARS OF HOLDING , SUBSIDIARY & ASSOCIATE COMPANIES					
Sl No.	Name & Address of the Company	CIN/GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% OF SHARES HELD	APPLICABLE SECTION
i.	UPDPL		Associate	49	
IV SHAREHOLDING PATTERN (Equity Share capital Break up as % to total Equity)					
-- Information in separate sheet --					
V INDEBTEDNESS					
(in crores)					
Sl. No.	Indebtedness of the Company including interest outstanding/ accrued but not due for payment	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
	Indebtness at the beginning of the financial year				
i.	Principal Amount	147.61			
ii	Interest due but not paid	24.13			
iii.	Interest accrued but not due				
	Total (i+ii+iii)				
	Change in Indebtedness during the financial year				
	Additions				
	Reduction				
	Net Change				
	Indebtedness at the end of the financial year				
i.	Principal Amount	147.61			
ii	Interest due but not paid	25.88			
iii.	Interest accrued but not due				
	Total (i+ii+iii)				

VI	REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL		
A. Remuneration to Managing Director, Whole time director and/or Manager:			
Sl. No.	Particulars of Remuneration	Name of the MD/ WTD/ Manager	Total Amount
1.	Gross salary		
	(a) Salary as per provisions contained in section 17(1) of the Income Tax. 1961.		
	(b) Value of perquisites u/s 17(2) of the Income tax Act, 1961	NIL	
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	Nil	
2.	Stock option	NIL	
3.	Sweat Equity	NIL	
4.	Commission	NIL	
	as % of profit		
	others (specify)		
5.	Others, please specify		
	Total (A)		
	Ceiling as per the Act		
B. Remuneration to Other Directors ----- Total Directors			
Sl. No.	Particulars of Remuneration	Name of the Directors	Total Amount
1.	Independent Directors	NIL	
	(a) Fee for attending board committee meetings		
	(b) Commission		
	(c) Others, please specify		
	Total (1)		
2.	Other Non Executive Directors	NIL	
	(a) Fee for attending board committee meetings		
	(b) Commission		
	(c) Others, please		

	specify.				
	Total (2)				
	Total (B)=(1+2)				
	Total Managerial Remuneration				
	Overall Ceiling as per the Act.				
C.	Remuneration to Key Managerial Personnel other than MD/Manager/ WTD				
Sl. No.	Particulars of Remuneration	Key Managerial Personnel			
1.	Gross Salary	CEO	Company Secretary	CFO	Total
	(a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961.	NIL	NIL	NIL	NIL
	(b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961				
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961				
2.	Stock Option				
3.	Sweat Equity				
4.	Commission				
	as % of profit				
	others, specify				
5.	Others, please specify				
	Total				

VII PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES: NIL					
Type	Section of the Companies Act	Brief Description	Details of Penalty/Punishment/Compounding fees imposed	Authority (RD/NCLT/Court)	Appeal made if any (give details)
A.	Company:				
Penalty					
Punishment					
Compounding					
B.	Directors:				
Penalty					
Punishment					
Compounding					
B.	Other Officers in Default:				
Penalty					
Punishment					
Compounding					
(Alok Tandon)					
Chairman					
DIN -01841717					

d) State Govt.									
e) Venture Capital Fund									
f) Insurance Companies									
g) FII									
h) Foreign Venture Capital Funds									
i) Others (specify)									
SUB TOTAL (B)(1):									
(2) Non Institutions									
a) Bodies corporates									
i) Indian									
ii) Overseas									
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs.1 lakhs									
ii) Individuals shareholders holding nominal share capital in excess of Rs. 1 lakhs									
c) Others (specify)									
SUB TOTAL (B)(2):									
Total Public Shareholding (B)= (B)(1)+(B)(2)									
C. Shares held by Custodian for GDRs & ADRs									
Grand Total (A+B+C)		13557500	13557500	100		13557500	13557500	100	

(ii) SHAREHOLDING OF PROMOTERS								
Sl. No	Shareholders Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change during the year
		No. of Shares	% of Total Shares of the Company	% of shares pledged encumbered to total shares	No. of Shares	% of Total Shares of the Company	% of shares pledged encumbered to total shares	
1.	Hon'ble Governor of Uttar Pradesh	11057486	81.56		11057486		81.56	0
2.	New Okhla Industrial Development Authority, Sector-6, Noida Complex, Distt. Gautam Budh Nagar, U.P.	2500000	18.44		2500000		18.44	0
3.	Shri Rahul Bhatnagar Chairman, PICUP, Lucknow	1	0		1	0	0	0
4.	Smt. Alaknanda Dayal Managing Director, PICUP, Lucknow	6	0		6	0	0	0
5.	Dr. Anil Kumar, Joint Managing Director, PICUP, Lucknow	2	0		2	0	0	0
6.	Smt. Richa Bhargava Deputy General Manager	1	0		1	0	0	0

	(Law) PICUP, Lucknow							
7.	Shri Rajeev Sonkar, Senior Manager (Technical) PICUP, Lucknow	1	0		1	0	0	0
8.	Shri N. K. Singh, Senior Manager (Technical), PICUP Lucknow	1	0		1	0	0	0
9.	Shri D.K. Sharma, (Senior Manager), Finance, PICUP Lucknow	1	0		1	0	0	0
10.	Shri Hari Shanker Manager (Finance) PICUP, Lucknow	1	0		1	0	0	0
	TOTAL	13557500	100		13557500	100	0	0

(iii)	Change In Promoters' Shareholding (Specify If There Is No Change) ---Nil---
- As per separate Sheet Attached	
(iv)	Shareholding Pattern Of Top Ten Shareholders (Other Than Directors, Promoters & Holders Of Gdrs&Adrs) ---Nil -

Sl. No.	For each of the Top 10 Shareholders	Shareholding at the beginning		Cumulative Shareholding during	
		No. of shares	% of Total Shares of the company	No. of shares	% of Total Shares of the company
1.	At the beginning of the year				
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)				
	At the end of the year (or on the date of separation, if separated during the year)				

(v)	Shareholding of Directors & KMP	
	Total Directors	4

(vi)	(a) Smt. AlaknandaDayal				
Sl. No.	For each of the Directors and KMP	Shareholding at the beginning of the year		Cumulative Shareholding during	
		No. of shares	% of Total Shares of the company	No. of shares	% of Total Shares of the company
1.	At the beginning of the year	0	0	0	0
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	Recd through transfer			
2.	At the end of the year	6	0	6	0

(vi)	(b) Shri Rahul Bhatnagar				
Sl. No.	For each of the Directors and KMP	Shareholding at the beginning of the year		Cumulative Shareholding during	
		No. of shares	% of Total Shares of the company	No. of shares	% of Total Shares of the company
1.	At the beginning of the year	0	0	0	0
	Date wise increase/decrease in Promoters Share holding during	Recd through transfer			

	the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)				
2.	At the end of the year	1	0	1	0
(vi)	(b) Dr. Anil Kumar				
Sl. No.	For each of the Directors and KMP	Shareholding at the beginning of the year		Cumulative Shareholding during	
		No. of shares	% of Total Shares of the company	No. of shares	% of Total Shares of the company
1.	At the beginning of the year	0	0	0	0
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	Recd through transfer			
2.	At the end of the year	2	0	2	0

(vi)	(c & d)				
Sl. No.	For each of the Directors and KMP	Shareholding at the beginning of the year		Cumulative Shareholding during	
		No. of shares	% of Total Shares of the company	No. of shares	% of Total Shares of the company
	Mr. Manohar Lal Kashyap, Mr. Rajiva Srivastava and Mr. Chandra Shekhar Banaudha have Nil Shares.	Nil Shares			

(Alok Tandon)
Chairman
DIN-01841717

Change in Promoters shareholding During The Year 2016-17					
Name of the Promoters	Share holding at the beginning of the Year (No. of Shares)	Date wise Change in shareholding	Share holding at the End of the Year (No. of Shares)	Change in Percentage in Shareholding (w.r.t. total Shares of the Company)	Cumulative Shareholding During The Year
NIL					
There was no change in Promoters Share Holding during the Financial Year 2016-17					