

<b>Proposal for availing special Incentives under Mega Project Scheme</b>				
<b>Sl. No.</b>	<b>Particulars</b>	<b>Reponses of the Company</b>		
1.	Name of the company			
2.	Name and address of Promoters of the Company			
3.	Brief Profile of Promoters Group and Company			
4.	Date of Incorporation (pl. enclose Certificate)			
5.	Constitution of proposed company (Private Ltd./Public Ltd. or Joint sector)			
6.	LOI/IEM Registration No. and Date (Pl. enclose certificate)			
7.	Brief of Project (Please provide details in maximum five pages at annexure-A)			
8.	Address of Registered office with Phone No. Fax and e-mail			
9.	Address of the Proposed location of the project with Phone No. Fax and e-mail			
10.	Region ( Eastern, Western, Bundelkhand, Central)			
11.	Type of Unit (New/Expansion/Diversification)			
12.	Industrial Sector			
13.	Nature of Unit (Manufacturing/Professional Skills)			
14.	Expected employment generation			
a	Direct (in Nos.)			
b	Indirect (in Nos.)			
15.	Product details of the proposed unit	Sl. No.	Product(s)	Installed Capacity
		Existing	Proposed	Existing
				Proposed
16.	Detailed Project Report (please provide copy of the same)			
17.	Expected Turnover (for First 5 Years)			

Signature of Statutory Auditor

Signature of Authorized Director

18.	Name of Appraising Institution or proposed institution (should be as per Para 12.6 of G.O. No. 402 dated 03.03.2014) (if done, please provide copy of the same)					
19.	Estimated Cost of Proposed Project (As per Appraisal or DPR or LOI/IEM filed) (Please provide certificate as per enclosed format-1)  Please specify (Appraisal/DPR/LOI/IEM/	(Rs. in Crores)				
		Particulars	Cost	Investment made (inclusive of advances) till 22.1.13	Investment made from 23.1.13 till date	
		Land				
		Building				
		Plant & Machinery				
		Other Fixed Capital Assets				
		any other				
		<b>Total</b>				
20.	Details of Land					
a	Pl. specify if: i) land was purchased prior to 23.01.2013 ii) land including building was purchased from any Government Organization/ Financial Institution/ Scheduled Commercial Bank (excluding Regional Rural Bank) prior to 23.1.2013 If yes, please indicate the date and amount paid for the above purpose					
21.	Tentative Means of Financing of the proposed project	(Rs. in Crores)				
		Particulars	Amount	% age of total equity	Raised made till 22.1.13	Raised from 23.1.13 till date
		<b>Equity</b>				
		-Promoters/ promoter company				
		-Government				
		-Public				
		<b>Term Loan</b>				
		<b>Total</b>				

Signature of Statutory Auditor

Signature of Authorized Director

22.	%age of capital Investment made to total proposed cost of Project as on 22.01.2013 (Inclusive of all advances) (as per enclosed format-2 duly certified by Statutory Auditor and Authorized Director of the Company)	
23.	Expected date of commencement of commercial production	
24.	If the Company proposes to implement the project in a phased manner:	
a	Whether Appraisal has been done or to be done based on a single DPR?	
b	Whether all proposed products are of a single nature?	
c	Whether all proposed products of single nature are to be manufactured at a single place?	
d	Phase-wise expected dates of commencement of commercial production	Phase-1 Phase-2 ..... Last phase
25.	Whether Company has availed or has intention to avail any other Sector specific Industrial Policy of the State (as per Para 2 of G.O. 719/77-6-13-8(M)/12 dated 16.08.2013). (Please provide an undertaking as per Format-3)	
26.	Whether Company has submitted the undertaking to abide by all provisions laid down in G.O. No. 402/77-6-14-5(M)/13 dated 03.03.2014 (as per Format-3)?	
27.	Incentives/Special Incentives (Reliefs/concessions) sought from Government (Pl. provide details in Format-4)	
28	Certificate of Board authorizing Director for signing the Documents for the scheme enclosed	Yes/No

Signature of Statutory Auditor  
(With Seal of CA Firm & Regn. No. of CA firm's  
Partner/ Firm)

Signature of Authorized Director  
(Duly authorized by the Board of the company)

NOTE:-

- 1 In case, the project is implemented in phased manner, the beneficiary company would be required to furnish an undertaking that the appraisal has been done on the basis of single DPR and products to be manufactured at one place and are of single in nature.

- 2 The director must be authorized by resolution passed by the company.
- 3 The amount of capital investment made (even if it is NIL) must be certified by Statutory Auditor and Authorized Director of the Company as per enclosed format.
- 4 Each paper/document submitted by the company should be signed by statutory Auditor and Authorized Director

Annexure-‘A’

## Brief of Project

(Please provide details in maximum five pages)

**Details of Expenditures incurred on the project**  
**(Duly Certified by Statutory Auditor and Authorized Director of the Company)**  
**(Rs. In Crores)**

Name of the unit Address				
S.No.	Particulars	Proposed Cost of Project: As appraised By Financial Institution (as per Para 12.6 of G.O. No. 402 dated 03.03.2014) OR As per DPR OR As per LOI/IEM filed	Investment Made (Inclusive of advances) till 22.1.2013	Investment made from 23.1.13 till date. (Details of Advances be provided separately)
1	Land			
2	Building			
3	Plant & Machinery as defined in Para 6 of Government Order No. 402 dated 03.03.2014			
4	Other Fixed Capital Assets			
5	Any others			
	<b>Total</b>			

Certified that the above figures have been checked from the books of accounts of the unit and are in agreement with the same.

Date:

Place:

Signature of Statutory Auditor  
 (With Seal of CA Firm & Regn. No. of CA firm's  
 Partner/ Firm)

Signature of Authorized Director  
 (Duly authorized by the Board of the company)

(Format of Certificate from Statutory Auditor and Authorized Director of the company towards capital investment made till 22.01.2013 for the project as per para 12.2 & 12.6 of G.O. No. 402 dated 03.03.2014)

**CERTIFICATE**

I/We have examined the books of accounts of the company and based on the same it is to certify that M/s....., having its registered office at....., is proposing to implement/ is implementing/have implemented/have undertaken a new/diversification/expansion project at Vill....., Tehsil ....., Distt..... As per the books of accounts of the company, the company has made a capital investment, inclusive of advances (other than capital investment made towards procurement of land) of Rs..... towards implementation of this project prior to 23.01.2013. This amounts to.....% of the cost of project of Rs. .... as per DPR or Appraised Report or LOI/IEM filed.

(Please strike the portions, whichever are not applicable)

Date:

Place:

Signature of Statutory Auditor  
(With Seal of CA Firm & Regn. No. of CA firm's  
Partner/ Firm)

Signature of Authorized Director  
(Duly authorized by the Board of the company)

ON GENERAL STAMP PAPER WORTH Rs. 10/- TO BE  
PURCHASED IN U.P. IN THE NAME OF THE COMPANY AND  
TO BE SWORN BEFORE A PUBLIC NOTARY

### UNDERTAKING

I, ....., aged about .....years, son of (Sri/late)..... resident of .....Director of M/s ..... having its registered office at ....., do hereby solemnly affirm on oath and declare as follows:-

1. That the deponent is director of M/s .....and is duly authorized vide the resolution dated ..... passed by the Board of Directors of the company to file the Instant undertaking.
2. That I hereby certify that the company in relation to the project for which incentives have been applied for:-
  - (i) Has not made capital investment of more than 15% of the cost of proposed project (excluding investment in land) till 22.1.2013.
  - (ii) Has neither availed nor will avail in future any incentive of any other Sector specific Industrial Policy of the State (as per Para 2 of G.O. 719/77-6-13-8(M)/12 dated 16.08.2013).
  - (iii) Has made the fixed capital investment (if any) on the purchase of new Plant Machinery (as per para 6 of G.O. No. 402/77-6-14-5(M)/13 dated 03.03.2014 ).
3. That I hereby agree to undertake :-
  - (i) That if it is found at a later stage that the company has made capital investment of more than 15% of the cost of proposed project (excluding investment in land) till 22.1.2013, then the company shall not raise any objection for withdrawal of special incentives sanctioned to the company.
  - (ii) That the company shall abide by all the provisions laid down in G.O. No. 402/77-6-14-5(M)/13 dated 03.03.2014 (copy enclosed) and if it is found at any stage that the company has flouted any of the conditions as aforesaid or has provided any incorrect information, then the company shall not raise any objection for withdrawal of special incentives sanctioned to the company.
  - (iii) That the company will get the project appraised on the basis of single DPR, products will be manufactured at one place and will be of a single nature (as per para 12.7 of G.O. No. 402/77-6-14-5(M)/13 dated 03.03.2014) **(Applicable only when the project is implemented in phased manner).**
4. That all information provided by the company is true to the best of my knowledge and belief.

Place:

Date:

Deponent

**VERIFICATION**

I, .....the above named deponent do hereby verify that the content of paragraphs 1 to 4 are correct to my personal knowledge and nothing material thereto have been concealed or mis-stated.

so help me god

Signed and verified this.....day of .....200.....at.....

Deponent

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Note:

Certified copy of the resolution of the Board of Directors of the Company authorizing the deponent be provided along with the affidavit.

**Format-4****Incentives / Special Incentives (Reliefs/concessions) sought from Government****Name of the Unit  
Address**

Sl. No.	Name of the Department	Details of Incentives sought	Expected amount of Reliefs/concessions	Views on expected benefits to the Company	Views on expected benefits to the State as a whole
1	Commercial Tax				
2	Energy				
3	Industrial development department				
4					
5					
6					
7					

**Please provide year-wise particulars wherever relevant**Signature of Authorized Director  
(Duly authorized by the Board of the company)

**Format-5**

**Comments of Department on Special Incentives sought by the Company from Government**

**Name of the Company**

**Name of the Department**

Sl. No .	Details of Incentives sought	Amount of Reliefs/ concessions as is Expected by the Company	Company's Views on expected benefits to it	Company's Views on expected benefits to the State as a whole	Comments of Department with suitable conditions (if any)
1	2	3	4	5	6