

The Managing Director,
PICUP
PICUP Bhawan,
Vibhuti Khand, Gomti Nagar,
LUCKNOW

**Sub. Application for Interest Free Loan in accordance to the G.O .
Ref No 1149/77-16-5(W/p)/2015 dated 22.09.2016**

Sir,

We are enclosing application for Interest Free Loan in accordance to the said G.O. for the F.Y(period April..... toMarch.....)

In accordance with the procedure/terms & conditions laid down in the aforementioned G.O. for sanction and grant of Interest Free Loan equivalent to Trade Tax/CST/VAT amounting to Rs..... (Rupees.....) for F.Y..... (period from 01st April to 31stMarch.....) we are submitting all the documents, papers and other information along with annexures (copy attached) etc in the Format given by the PICUP (Nodal Agency) with a request for its sanction / disbursement of the same.

For

Date :

Place:

Director

Enclosures: 1. Application in prescribed format along with required documents & Certificates as per check list enclosed here with.

Applications Format for Sanction of Interest Free Loan for the
F.Y.....(1st April to 31st March 2016)

1. Name of Industrial Unit / Company
2. Constitution of Industrial Unit / Company
3. Location (Address)
 - (a)Unit for which Interest Free Loan is sought
 - (b)Corporate Office
 - (c)Registered Office
 - (d) Phone No. Unit and Registered Office.
4. Name and addresses of MD/Director of the Company as per detail below:-
 - (i) Name of MD/Director
 - (ii) Residential Address
 - (iii)Phone No. /Mobile No. (Residential/Office)
 - (iv)PAN No.
 - (V) Passport No. if available.
5. PAN No. of the Industrial Unit / Company
6. TIN No. of the Industrial Unit / Company
7. Date of Letter of Comfort issued by Nodal Agency.
8. Period of Eligibility as per GO dated 22-9-2016
9. Whether it is a new unit / expansion /diversification / Backward integration of existing unit
10. Fixed Capital Investment/Additional Fixed Capital Investment made up to pertaining year of loan application or up to 31-5-2009 (As per Para 5.14 of G.O. No. 1149/77-6-16-5 (Writ)2015 dated 22.09.2016)
11. Period/Financial Year to which the application relates (From 1st April ...to 31st March,....)
12. Brief Description of Raw Material, Finished Product etc. under this scheme.
 - (a) License capacity of unit and actual production in Pertaining year against Licensed capacity (please Provide copy of DPR submitted at the time applying

- for benefits under the scheme as per G.O. Dt. 01-06-2006
- (b) Type of fuel utilized in unit and its availability.
13. Date of Start of Commercial Production related to the Scheme (pl. provide certificate from Trade Tax/Excise/ District Incharge Industry/ District Incharge Excise Department in support.)
14. Date of First Sale of Finished Goods/items (with proof Related to the scheme duly certified by District Incharge Industry/ District Incharge Excise Department in support.)
15. Certificate from Statutory Auditors & Authorized Director of the Industrial unit/Company and Affidavit by Authorised Director of the Industrial Unit/Company in respect of not availing / applying any benefit from the Govt. of U.P. under any Scheme.
16. Details of fixed Capital Investment/Additional Fixed Capital Investment up to 31-05-22009 at time of submission of Loan application for the Purpose of this scheme duly certified by C.A./Statutory Auditors Duly signed by Authorized Director of the Industrial unit/Company
17. Consent Letter from Bank to provide Bank Guarantee from any of the scheduled Bank, to secure the Loan amount as per G.O.22-09-2016.
- 17(a) Consent letter of first pari-passu charge from bank or details of assets offered as collateral security as per Para 6.3.14 of G.O. Dt.22-09-2016
18. Option for repayment of Loan as per Para 6.1 of G.O. No. 1149/77-6-16-5(Writ) 2015 dated 22.09.2016)
19. Copy of returns filed and its acknowledgement under Trade Tax/CST/VAT Act duly certified by C.A./ Statutory Auditor / Authorized Director of the Company.
20. Details of Taxable Turnover and Tax admittedly under Trade Tax / Central Sales Tax / VAT Certified by C.A. / Statutory Auditor / Authorized Director of the Company by for the F.Y.(period from 1st April to 31st March.....)

(Figures in Crores)

Sl. No.	Particulars	Amount	UP Trade Tax/VAT Amount	Central Sales Tax	Total Amount
1.	Taxable Turnover (with proof related to Scheme as per Para 5.17 of G.O. Ref No. 1149/77-16-5(W/p)/2015 dated 22.09.2016)				

2.	Tax Admittedly Payable/paid				
3.	Amount of Intt. paid by the unit as per Para 6.3.1 of the G.O. Dt 22-09-2016.				
4.	Amount of Penalty paid by the unit as per Para 6.3.1 of the G.O. Dt 22-09-2016.				
5.	Amount claimed for Intt, Free Loan as per G.O.				

Amount of Eligible Interest Free Loan payable / paid of Rs. (Rupee only).

21. Details of Fixed Capital Investment / Additional Fixed Capital Investment made on or after 01.06.2006 till the date of filling of the loan application as per the details given below. (Investment related only for the proposed project as per G.O. Ref.No.1149/77-16-5(W/p)/2015 dated 22.09.2016)

(Figures in Crores)

Sl. No.	Particulars	Original Investment	New Unit	Expansion	Diversification	Backward Integration	% increase in Investment
I	II	III	IV	V	VI	VII	VIII
1	Land						
2	Building						
3	Plant & Machinery						
4	Apparatus						
5	Components						
6	Moulds						
7	Dies						
8	Jigs						
9	Fixtures						
10	Other Assets						
	Total						

Note: The amount of Fixed Capital Investment/ Additional Fixed Capital Investment is estimated on the minimum of the followings:

- (a) As determined by the Government or any Statutory Entity.
- (b) As appraised by Lead Financial Institution.
- (c) Actual Amount of Investment.

22. As per Para 6.3.4 of G.O. dt 22-09-2016 please provide MOU to nodal Agency (PICUP) as per prescribed format enclosed.

23. Details of Purchase of Raw Materials, spares, parts, components, intermediates etc.(related only for the proposed project as per G.O. No.1149/77-6-16-5(w/p)/2015 dated 22.09.2016) during 1st April 20.... to 31st March 20.....

(A). In case of New Units

(Figures in Rs. In Lacs)

Sl. No.	Particulars	Within the State	Outside the State	Total
I	II	III	IV	V
1.	Raw Materials			

(B). In the case of Expansion

Sl. No.	Period (From..... to)	Particulars	Finished Goods Before Expansion	Finished Goods After Expansion	% increase on Expansion
I	II	III	IV	V	VI

(C). In the case of Diversification

Sl. No.	Period(From..... to)	Particulars (Detail of Items)	Finished Goods Before Diversification	Finished Goods After Diversification
I	II	III	IV	V

(D). In the case of Backward Integration

Sl. No.	Preceding Tax Assessment Year	Product	Total Amount of Raw Material, Components, Parts, Intermediate procured at the unit	Amount of total Raw Material, Components, Parts, Intermediate imported at the unit from outside the state	% of Total Raw Material, Components, Parts, Intermediate imported at the unit from outside the state
I	II	III	IV	V	VI

24. All the pages of the above format for sanction/ disbursement of the Interest Free Loan application must be signed by the Authorized Director of the company and also duly countersigned by C.A. and Statutory Auditors.

We hereby agree and undertake to abide by the provision and Term & conditions of the G.O. Dated 22-09-2016 for grant of Interest free Loan as set out in the Scheme and those as per eligibility and refund of the amount on demand by Nodal Agency along with interest in the event, if any of the conditions is contravened by me/us.

That the information given here in above is true and correct to the best of my/our knowledge and belief and no information has been concealed or misstated.

For

Date:

Place

Authorized Director

(Format of certificate from C.A./Statutory Auditor/Authorized Director of the Company)

“Annexure A-I”

CERTIFICATE

I/we have read the G.O. Ref No 1149/77-16-5(Writ)/2015 dated 22.09.2016 issued by the U.P. Government regarding incentive Scheme for the industrial Units having Capital Investment of Rs.100 Crores or more along with G.O. No. 1502/77-6-2006-10 Tax/04 dated 01.06.2006, and on the basis of which, I/We confirm that the unit/company is eligible for the benefits of Interest Free Loan as stated in the aforesaid mentioned G.O.

Further, I/We certify that total amount of Interest Free Loan after taking into account the amount equivalent to the Interest Free Loan claimed during the F.Y..... does not exceed 100% of fixed Capital Investment / Additional Fixed Capital Investment up to 31-05-2009.

(Signature of Statutory Auditor with office seal)

Name:

Member ship No:

(Signature of Chartered Accountant with office seal)

Name:

Member ship No:

(Signature of Authorized Director with office seal)

Date:

Place:

Note: All the certificates shall be countersigned by the Statutory Auditor of the company and also countersigned by at least one director of the company duly authorized by the Board of the directors of the company by passing resolution and copy of that resolution should also be annexed. C.A. under the provision of this G.O. means any Chartered Accountant who is fellow of the Institute of Chartered Accountant of India and is in the panel of any scheduled bank for audit.

(Format of certificate from C.A./Statutory Auditor/Authorized Director of the Company)

“Annexure –A-II”

CERTIFICATE

I/we have read the G.O . Ref No. 1149/77-16-5(W/p)/2015 dated 22.09.2016 issued by the U.P. Government regarding Interest Free Loan for the industrial Units having Capital Investment of Rs.100 Crores or more along with G.O. No. 1502/77-6-2006-10 Tax/04 dated 01.06.2006, and on the basis of which, I/We confirm that the unit/company is eligible for the benefits of Interest Free Loan/subsidies and incentives as stated in the aforementioned G.O.

Further, I/We certify that on the basis of the examination of the books of accounts, documents and related records in original, the details and information given below are true and correct.

Details of Taxable Turnover and Tax admittedly under Trade Tax /Vat/ Central Sales Tax / VAT for the F.Y.....(period from Aprilto March.....).

(Figures in Crores)

Sl. No.	Particulars	Amount Rs.	UP Trade Tax/VAT Amount Rs	Central Sales Tax Rs.	Total Amount Rs.
1.	Taxable Turnover (with proof related to Scheme as per Para 5.17 of G.O. Ref No. 1149/77-16-5(W/p)/2015 dated 22.09.2016)				
2.	Tax Admittedly Payable/paid by the unit as per G.O.				
3.	Amount of Interest if any paid by the unit as per Para 6.3.1 of the G.O. Dated 22-09-2016.				
4.	Amount of penalty if any paid by the unit as per Para 6.3.1 of the G.O. Dated 22-09-2016.				
5.	Total Amount of the loan claimed under Intt, Free Loan scheme as per G.O. Dated 22-09-2016.				

Amount of Eligible Interest Free Loan payable / paid of Rs. (Rupee only).

Further, I/We certify that the total Amount of Trade Tax/ Central Sales Tax/ VAT equivalent to which Interest Free Loan is claimed as per the aforementioned G.O.'s is Rs. (Rupee).

Date:

Place:

(Signature of Statutory Auditor with office seal)

Name:

Member ship No:

(Signature of Chartered Accountant with office seal)

Name:

Member ship No:

(Signature of Authorized Director with office seal)

Note: All the certificates shall be countersigned by the Statutory Auditor of the company and also countersigned by at least one director of the company duly authorized by the Board of the directors of the company by passing resolution and copy of that resolution should also be annexed. C.A. under the provision of this G.O. means any Chartered Accountant who is fellow of the Institute of Chartered Accountant of India and is in the panel of any scheduled bank for audit.

(Format of certificate from C.A./Statutory Auditor/Authorized Director of the Company)

“Annexure-A -III”

CERTIFICATE

(As per G.O . Ref No. 1149/77-16-5(Writ)/2015 dated 22.09.2016

(Subject to the terms & conditions)

(Figures in Crores)

Year /Dated	Fixed Investment /Additional Fixed Investment (as on Dated 31.05.2009)	Capital /Additional Capital (as on Dated 31.05.2009)	Proposed Interest Free Loan	Amount as	Subsidy Granted (Infrastructure/Capital)	Accumulated Incentive Proposed/granted
(a)	(b)	(c)	(c)	(c)	(d)	(e) = (c) + (d)
1						

We hereby certify that total amount of Interest Free Loan granted/ proposed to be granted under this scheme (as per G.O. 1149/77-16-5(Writ)/2015 dated 22.09.2016 shall not exceed 100% of Fixed Capital Investment/ Additional Fixed Capital Investment.

Date:

Place:

(Signature of Statutory Auditor with office seal)

Name:

Member ship No:

(Signature of Chartered Accountant with office seal)

Name:

Member ship No:

(Signature of Authorized Director with office seal)

Note: All the certificates shall be countersigned by the Statutory Auditor of the company and also countersigned by at least one director of the company duly authorized by the Board of the directors of the company by passing resolution and copy of that resolution should also be annexed. C.A. under the provision of this G.O. means any Chartered Accountant who is fellow of the Institute of Chartered Accountant of India and is in the panel of any scheduled bank for audit.

(Format of certificate from C.A./Statutory Auditor/Authorized Director of the Company)

“Annexure A-IV”

CERTIFICATE

I/we have read the G.O. Ref No 1149/77-16-5(Writ)/2015 dated 22.09.2016 issued by the U.P. Government regarding incentive Scheme for the industrial Units having Capital Investment of Rs.100 Crores or more along with G.O. No. 1502/77-6-2006-10 Tax/04 dated 01.06.2006, and on the basis of which, I/We confirm that the unit/company is eligible for the benefits of Interest Free Loan as stated in the aforesaid G.O.

Further, I/We hereby certify that as per Para 6.3.14 of above mentioned G.O the Status of the existing charges/encumbrances on the movable/immovable assets of the unit with full details of loan etc. and financial institutions/banks and their consent to share pari-passu charge with PICUP on pari-passu charge basis. OR any other collateral security in case of default in payment of dues on its due date and Interest payable thereupon.

Further, I/We hereby certify that on the basis of the examination of the books of accounts, documents & related records in original the details given below are true & correct.

(A) The detail of secured loans obtained from various Financial Institutions/ Bank & outstanding balance of the same as on dated.....and net value of Fixed Assets as on dated..... And status of charge in prescribed format as given below:

Secured Loans obtained from F.I.'s and banks	Name of F.I.s/Banks	Outstanding Balances as on	Net value of Fixed Assets as on dated.....	Status of charge's

(B) Description of First charge on the Fixed Assets of the industrial unit/company.

Sl No.	Details of first charge on the Assets of the company	To the extent first charge in favour of bank/F.I.s etc (Name & address of bank/F.I.s	Outstanding Liabilities against that charge of the Industrial unit/company.
	Assets/value as per Charge in favour of Securities..... Bank/F.I.s		

(C) Descriptions of securities available for PICUP (Nodal Agency as First parri-passu charge) as on date of application.

Sl. No.	Description of Assets/ securities	Value as per.....

(D) Consent of Description of Bank Guarantee in the prescribed profarma of PICUP (Nodal agency).

Sl.No	Name of the Bank	Amount Which is covered under Bank Guarantee	Validity period of the Bank Guarantee	Remarks(If Any

Date:.....

Place:.....

(Signature of Statutory Auditor with office seal)

Name:

Member ship No:

(Signature of Chartered Accountant with office seal)

Name:

Member ship No:

(Signature of Authorized Director with office seal)

Note: All the certificates shall be countersigned by the Statutory Auditor of the company and also countersigned by at least one director of the company duly authorized by the Board of the directors of the company by passing resolution and copy of that resolution should also be annexed. C.A. under the provision of this G.O. means any Chartered Accountant who is fellow of the Institute of Chartered Accountant of India and is in the panel of any scheduled bank for audit.

CERTIFICATE

I/we have read the G.O . Ref No. 1149/77-16-5(W/p)/2015 dated 22.09.2016 issued by the U.P. Government regarding incentive Scheme for the industrial Units having Capital Investment of Rs.100 Crores or more along with G.O. No. 1502/77-6-2006-10 Tax/04 dated 01.06.2006, and on the basis of which, I/We confirm that the unit/company is eligible for the benefits of Interest Free Loan/subsidies and incentives as stated in the aforesaid G.O.

I/We confirm that as a result of fixed capital Investment/Additional Fixed Capital Investment as per the aforementioned G.O.'s the Industrial unit/company has started its commercial production on (as per G.O. dated 22.09.2016 of para 5.16.1, 5.16.2, 5.16.3, 5.16.4 and 5.16.5).

Further, I/We confirm that as a result of fixed capital Investment/Additional Capital Investment as per the aforementioned G.O.'s the date of First Sale of the product manufactured in case of eligible unit is.....(as per G.O. dated 22.09.2016 of Para 5.15 or 5.15.1, 5.15.2, 5.15.3 and 5.15.4).

Date:.....

Place:.....

(Signature of Statutory Auditor with office seal)

Name:

Member ship No:

(Signature of Chartered Accountant with office seal)

Name:

Member ship No:

(Signature of Authorized Director with office seal)

Note: All the certificates shall be countersigned by the Statutory Auditor of the company and also countersigned by at least one director of the company duly authorized by the Board of the directors of the company by passing resolution and copy of that resolution should also be annexed. C.A. under the provision of this G.O. means any Chartered Accountant who is fellow of the Institute of Chartered Accountant of India and is in the panel of any scheduled bank for audit.

CERTIFICATE

DURATION OF INTEREST FREE LOAN(15/7 Yr., 15/10 Yr., and 17/10 Yr.)
(As per para 6 of G.O. 1149/77-16-5(Writ)/2015 dated 22.09.2016)

Year/ dated	Fixed Capital Investment/ Additional Fixed Capital Investment (As on dated 31.05.09)	Proposed/sanctioned amount as interest free loan	Subsidy proposed/granted (Infrastructure/ Capital)	Accumulated Incentive Proposed/ granted
(a)	(b)	(c)	(d)	(e)
11				
2				
3				
4				
5				
6				
7				
8				
9				
10				
11				

Strike which is not applicable

We hereby certify that total amount of Incentive granted/ proposed to be granted under this scheme (as per G.O. 1149/77-16-5(Writ)/2015 dated 22.09.2016) shall/ shall not exceed 100% of Fixed Capital Investment/ Additional Fixed Capital Investment.

Date:

Place:

(Signature of Statutory Auditor with office seal)

Name:

Member ship No:

(Signature of Chartered Accountant with office seal)

Name:

Member ship No:

(Signature of Authorized Director with office seal)

Note: All the certificates shall be countersigned by the Statutory Auditor of the company and also countersigned by at least one director of the company duly authorized by the Board of the directors of the company by passing resolution and copy of that resolution should also be annexed. C.A. under the provision of this G.O. means any Chartered Accountant who is fellow of the Institute of Chartered Accountant of India and is in the panel of any scheduled bank for audit.

(Format of certificate from C.A./Statutory Auditor/Authorized Director of the Company)

CERTIFICATE

I/we have read the G.O . Ref No. 1149/77-16-5(W/p)/2015 dated 22.09.2016 issued by the U.P. Government regarding incentive Scheme for the industrial Units having Capital Investment of Rs.100 Crores or more along with G.O. No. 1502/77-6-2006-10 Tax/04 dated 01.06.2006, and on the basis of which, I/We confirm that the unit/company is eligible for the benefits of Interest Free Loan/subsidies and incentives as stated in the aforementioned G.O’s.

Further, I/We examined the books of account of the Industrial unit/company and verified the details with the original documents/papers & other relevant records and based on the same, I/We certify/confirm that as on date M/shaving its Registered Officer atis not a defaulter in payment of any dues of loan administered by PICUP/UPFC.

Date:
Place:

(Signature of Statutory Auditor with office seal)

Name:
Member ship No:

(Signature of Chartered Accountant with office seal)

Name:
Member ship No:

(Signature of Authorized Director with office seal)

Note: All the certificates shall be countersigned by the Statutory Auditor of the company and also countersigned by at least one director of the company duly authorized by the Board of the directors of the company by passing resolution and copy of that resolution should also be annexed. C.A. under the provision of this G.O. means any Chartered Accountant who is fellow of the Institute of Chartered Accountant of India and is in the panel of any scheduled bank for audit.

Declaration by Chartered Accountant

It is declared that our Firm is empanelled in the following Scheduled Banks for Audit purpose.

<u>Name of the Bank</u> <u>Since/Till</u>	<u>Empanelled</u>
----------------------------------------------	-------------------

(1).....

(2).....

We are in the panel of Scheduled Bank at the time of singeing/verifying the documents/records of
M/s.....

For M/s.....

(Signature & Office Seal)

Name:
F.C.A.
Partner/Proprietor
M.No.
Dated:
Place:

Note: Declaration should be at the Letter Head of the CA Firm with supported documents.

CERTIFICATE

I/we have read the G.O . Ref No. 1149/77-16-5(W/p)/2015 dated 22.09.2016 issued by the U.P. Government regarding incentive Scheme for the industrial Units having Capital Investment of Rs.100 Crores or more along with G.O. No. 1502/77-6-2006-10 Tax/04 dated 01.06.2006, and on the basis of which, I/We confirm that the unit/company is eligible for the benefits of Interest Free Loan/subsidies and incentives as stated in the aforementioned G.O's.

Further, I/We examined the books of account of the Industrial unit/company and verified the details with the original documents/papers & other relevant records and based on the same, I/We certify/confirm that:

- a) Securities given/offered to the PICUP (Nodal Agency) is adequate to cover the amount of Interest Free Loan (accumulated) as per scheme, mentioned in the Para 6.3.14 of the aforesaid G.O.
- b) Securities given/offered to the PICUP (Nodal Agency) as per Para 6.3.14 of the above mentioned G.O. to recover the Interest on Interest Free Loan is recoverable due to default in payment in future

Date:.....

Place:.....

(Signature of Statutory Auditor with office seal)

Name:

Member ship No:

(Signature of Chartered Accountant with office seal)

Name:

Member ship No:

(Signature of Authorized Director with office seal)

Note: All the certificates shall be countersigned by the Statutory Auditor of the company and also countersigned by at least one director of the company duly authorized by the Board of the directors of the company by passing resolution and copy of that resolution should also be annexed. C.A. under the provision of this G.O. means any Chartered Accountant who is fellow of the Institute of Chartered Accountant of India and is in the panel of any scheduled bank for audit.

ON GENERAL STAMP PAPER OF Rs. 10/- DULY NOTARIZED

Before THE PRADESHIYA INDUSTRIAL & INVESTMENT CORPORATION OF U.P. LTD. at PICUP Bhawan, Gomti Nagar, Lucknow-226010. Affidavit on behalf of M/s_____I/We_____and/are proprietor/partners/directors _____ of M/s_____as such are fully conversant with the facts being disposed hereunder:-

1. M/s_____ has submitted loan application for Interest Free Loan assistance under the G.O . Ref No, 1149/77-16-5(W/p)/2015 dated 22.09.2016 issued by the U.P. government regarding incentive Scheme for the industrial Units having Fixed Capital Investment of Rs.100 Crores or more for the industrial unit set up at_____.
2. The deponent(s) had been apprised of relevant information/papers required in connection with the loan proposal.
3. The deponent(s) certify that the information/papers/documents as suggested above have been submitted and the same are true genuine & correct to the best of my knowledge.
4. The deponent(s) certify that M/s. has not applied for or availed any rebate/facility of similar nature under any industry specific concerned departmental policy of Government of Uttar Pradesh. This rebate/facility is strictly being availed under one policy only.
5. The deponent(s) undertakes that the M/s.....eligible unit under the scheme and will not close for next five year after disbursement of the loan amount by the Nodal Agency.

6. The deponent(s) further undertakes that the beneficiaries and deponents would be liable for all the legal consequences including cancellation of loan in case documents/papers/information are found to be false and incorrect at any stage during the currency of loan.

DEPONENT

I/We the deponent(s) narrated above do hereby solemnly state and declare that contents of Para 1 to 6 are true to my personal knowledge, and based on the records available. Nothing material has been concealed or misstated. So help me God. Signed and verified this _____ day _____ 20 at _____.

DEPONENT

CHECKLIST OF DOCUMENTS REQUIRED FOR INTEREST FREE LOAN INCENTIVE SCHEME FOR INDUSTRIAL UNITS HAVING CAPITAL INVESTMENT OF Rs. 100 CRORES OR MORE.

1. Application form duly filled up & signed by the Directors/Proprietors/Partners/Authorized Signatory.
2. Brief History of the entity/firm/company. All particulars of merger in past, if any and changes in constitution of company should be highlighted. Details of all operating divisions & units to be provided.
3. Bio-data of Owner/Partners/Directors along with copy of their, ID proof, PAN & Income Tax Return for the last two years, DIN Nos.
4. Copy of Sales Tax/Central Sales Tax/Excise registration, Factory License, power sanction letter & NOC from Pollution Control Board etc. duly attested.
5. (i) Certified copy of Incorporation Certificate/Commencement of Business Certificate/Memorandum and Articles of Association where applicant is a company Registered under the Companies Act, 1956 or (ii) Registered Partnership Deed/Partnership Firm Registration Certificate issued by Registrar of Firms where the applicant is registered as Partnership Firm (iii) In case the applicant is a proprietorship concern, proof of the same with relevant details.
6. Audited Annual Reports of the company with Balance Sheet, Profit & Loss A/c and other schedule duly signed by its Directors with DIN No. complete in all regard for last three years & copy of Income Tax Return of the Company.
7. Unit Level Audited Annual Reports duly signed by Partner/Director of the applicant unit claiming eligibility for Interest Free Loan, complete with all the schedules including the Notes to Accounts in respect of Balance Sheet and Profit & Loss Accounts must be furnished. The auditing firm's Registration Number/Seal & Signing Partner CA(s)' Membership Number must be clearly affixed to Unit Audited Accounts (In case of Expansion project, the Unit level Balance Sheets are required for last three financial years also).
8. CA certified reconciliation for Annual Sales/Turnover, VAT, CST paid during the Financial Year showing Sales/Turnover and VAT/CST paid towards Manufactured Goods, Scrap Sale, Traded Goods etc. separately (within the State as well as Outside State), Inter-unit transfers, Export etc. Sales/turn-over reconciliation is to be submitted in terms of figures shown in Profit & Loss A/c of the unit and net UPTT/VAT/CST paid after adjustment of ITC to be reconciled with UPTT/VAT Audit Report for the F.Y. (In case of Expansion project, this reconciliation is required for last three financial years also)
9. Copy of UPTT/VAT Audit Report (In case of Expansion project, this is required for last three financial years also)
10. Copy of Tax Audit Report.
11. Certificate from Commercial Tax Department for deposit of UPTT/VAT/CST (In case of Expansion project, this certificate is required for last three financial years also)
12. Copies of ERI, ER IV&ER VII generated from official website (In case of Expansion project, ER 4 & ER7 is required for last three financial years also)
13. Copies of annual UPTT/VAT returns generated from official website.
14. CA certified details of Additional/New Capital Investment up to the date of commencement of commercial production well as C.A. certificate with regard to

Capital Investment as between 01-06-2006 to 31st May-2009 of the concerned Financial Year.

15. C.A. Certificate/or other documentary support with respect to No default in statutory payments viz. Power, EPF, Excise, Sale Tax Dues, Banks/FI. Etc.
16. Assets & Liabilities Statements of Promoters/Directors/Partners/Owners containing full particulars sufficient to identify/locate the assets duly certified by C.A (**In case of Collateral security for default in payment of dues on its due date and Interest payable thereupon**).
17. Details of movable & immovable property of the Promoters/Guarantors on affidavit on non-judicial stamp of Rs. 10/- (purchase from U.P.) certified by C.A. and declaration of status of any lien or charge subject to which it is held, sworn before Notary Public (In case of Personal Guarantee only).
18. Details of New/Additional Capital Investment in Land, Building and Plant & Machinery up to 31-05-2009 with certified documentary evidence in case of First Application.
19. Status of the existing charges/encumbrances on the movable/immovable assets of the unit with full details of loan etc. and financial institutions/banks and their consent to share pari-passu charge with PICUP on pari-passu charge basis.
20. Valuation Report from Government approved registered valuer for security offered (report should not be older than six months for the first time).
21. Details of working capital sanctioned from bank giving limit sanctioned, present O/S margin, rate of interest, details of security given etc.
22. Documentary support for date of 1st sale made of product manufactured by additional/new capital investment (Copy of first sale invoice duly certified by CA & Excise/VAT Deptt). Details of Trade Tax/CST paid on sales during each financial year from the date of first sales duly certified by Trade Tax Department /Excise Deptt./Chartered Accountant.
23. Documents in support of 1st date of production from new capital investment duly certified by District Incharge Industry /DI Office/SIA Acknowledgement.
24. Details of pending litigations involving the unit, if any, along with present status.
25. Affidavit for having submitted MOU as per Para 6.3.4 and all the information submitted by the company are true for availing assistance under this scheme on prescribed format on stamp paper duly notarized.
26. General Power of Attorney – Board Resolution in respect of Authorized Signatory.
27. Consent letter of the Authorized director of the company for proposed security to be offered towards loan applied.
28. Besides above, the company/unit will also be required to submit any other document/information as may be needed during the processing of its application.

(Acknowledgement)

Checked and found all the above documents as mentioned in the Check List in order/the following shortcomings were noted in the Application.

- 1.
- 2.
- 3.
- 4.

(Signature of Dealing Officer)