



1	2	3	4	5	in case of phase, give investment phase-wise)	to till date		9
						10%	Balance (90%)	
i.	Land and Site Development							
ii.	Building and Civil Works							
iii.	Plant and Machinery							
iv.	Miscellaneous Fixed Assets							
v.	Technical Knowhow Fee							
vi.	Interest During Construction Period							
vii.	Prel. And Pre-operative Expenses							
viii.	Margin Money for Working Capital							
	Total							

Supporting Documents:

- (i) (i) Registered document showing purchase price, (ii) Receipt of payment of stamp duty, (iii) receipt of payment of registration fee, (iv) If land purchased from UPSIDC/DI/FIs/Banks in auction, supporting documents for price paid.
  - (ii) Detailed cost estimates of building and civil works constructed or to be constructed (as per DPR/Appraisal Note) and supported with layout plans and cost estimates prepared by external consultants/CA firms and cost incurred duly certified by statutory auditors.
  - (iii) The cost of proposed/actual capital investment in the head of plant and machinery and misc. fixed assets should be shown itemized in accordance with the provisions of the Rules for scrutiny, verification and certification.
  - (iv) As per (iii) above.
  - (v), (vi), (vii) & (viii) These items do not qualify as capital investment.
1. Nodal agency shall arrange examination & certification on Capital Investment made by the company as per the provision of G/O's through its empanelled C/A firms
  2. Nodal agency shall also arrange to get examined the installation & verification of Capital Investment at site (Land, Building & Plant & Machinery) through its empanelled consultants/ valuer/engineer.

The above two reports shall be put up at the stage of disbursal of benefits before the Competent Committee for determination of the quantum of capital investment.

<u>4.1 REIMBURSEMENT OF DEPOSITED GST</u>			
4.1.1	Details of tax paid under GST Act		Documents Required
	i. GSTIN issued by Commercial Taxes Department ii. Amount of Total GST paid during the F.Y. iii. Amount of admitted Tax on manufacture goods under deposited GSTfor FY ____ iv. Eligible amount of deposited GSTforreimbursement for FY.....		Certificate issued by Competent Authority in support of GST received in State Government's Account for the relevant period
<u>Documents Required in Support of GST Paid</u>			
I.	Certificate issued by Competent Authority		
II.	Unit level audited accounts for the relevant financial year (for whichGSTreimbursement is being claimed)		
III.	GST Audit Report for the relevant financial year for the company		
IV.	GSTAudit Report for the relevant financial year for the unit (standalone GST statement/report for the unit certified by a Chartered Accountant)		
V.	CA Certificate for sales reconciliation of Manufactured Goods/Trading goods/ Scrap/Stock Transfer and SGST paid towards the same separately.		

<u>4.2 CAPITAL INTEREST SUBSIDY</u>			
4.2.1	Name& Address of Banks/Financial Institutions from which loan availed		
4.2.2	Amount of loan sanctioned on Investment in Plant & Machinery		Sanction Letter, Agreement with FI/Bank
4.2.3	Rate of Interest		Sanction Letter, Agreement with FI/Bank
4.2.4	Date of Sanction		
4.2.5	Amount of Loan Disbursed towards Investment in Plant & Machinery with dates of Disbursement.		1. Certificate from FI/Bank certifying loan for Plant & Machinery and interest& other

			relevant details.  2. Certificate from FI/Bank for No Default in the account during the entire period for which reimbursement claimed.
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4.2.6	<u>Particulars of Claims for Sanction of Capital Interest Subsidy</u>					
	SI No	Year for which subsidy Applied	Payment made to FI/Bank during the year		Amount of Interest Subsidy Applied	Documents required in support
Principal			Interest			
	1.	Year -I ( )				Certification from FI/Bank required
	2.	Year -II ( )				
	3-	Year -III ( )				
	4-	Year -IV ( )				
	5-	Year -V ( )				
		Total				

<u>5.1 INFRASTRUCTURE INTEREST SUBSIDY</u>					
5.1.1	Name of Banks/Financial Institutions from which loan availed				
5.1.2	Amount of loan sanctioned for Investment in Infrastructure Facilities, as defined			Sanction Letter, Agreement with FI/Bank	
5.1.3	Rate of Interest			Sanction Letter, Agreement with FI/Bank	
5.1.4	Date of Sanction				
5.1.5	Amount of Loan Disbursed towards Infrastructure Facilities with dates of Disbursement.			1. Certificate from FI/Bank certifying loan for Plant & Machinery and interest & other relevant details. 2. Certificate from FI/Bank for No Default in the account during the entire period for which reimbursement claimed.	
5.1.6	<u>Particulars of Claims for Sanction of Infrastructure Interest Subsidy</u>				
	SI No	Year for which subsidy	Payment made to FI/Bank during the year	Amount of Interest Subsidy	Documents required in support

	Applied			Applied	
		Principal	Interest		
1.	Year -I ( )				Certification from FI/Bank required
2.	Year -II ( )				
3-	Year -III ( )				
4-	Year -IV ( )				
5-	Year -V ( )				
	Total				

#### 6.1 EMPLOYEES PROVIDENT FUND REIMBURSEMENT

6.1.1	Number of Unskilled Workers with full particulars of 100 unskilled workers and employee wise contributions for relevant year.		Affidavit from the main promoter/Authorized Officer to the effect that all the above particulars are true and that the unit had 100 unskilled workers in its continuous employment for the full period of the relevant year for which application for reimbursement is being filed.
6.1.2	Number of Other Workers		
6.1.3	Total No. of Workers		
6.1.4	Details of Claims for EPF Reimbursement		Month wise details of contributions paid by Employer into the EPFO or Employer's PF Trust, which should be certified by the concerned competent officer of EPFO/Competent officer of Trust.

7.1	<u>INTEREST SUBSIDY FOR INDUSTRIAL RESEARCH, QUALITY IMPROVEMENT &amp; DEVELOPMENT OF PRODUCT</u>		
7.1.1	Name of Financial Institutions from which loan availed		
7.1.2	Amount of loan sanctioned for establishment of Testing Lab, Quality Certification Lab and Tool Room with dates of Disbursement on Investment		Sanction Letter, Agreement with FI/Bank
7.1.3	Rate of Interest		Sanction Letter, Agreement with FI/Bank
7.1.4	Date of Sanction		
7.1.5	Amount of Loan Disbursed towards establishment of Testing		1. Certificate from FI/Bank certifying loan

	Lab, Quality Certification Lab and Tool Room with dates of Disbursement		for Plant & Machinery and interest & other relevant details. 2. Certificate from FI/Bank for No Default in the account during the entire period for which reimbursement claimed.
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7.1.6 Particulars of Claims for Sanction of Industrial Research, Quality Improvement & Development Subsidy

SI No	Year for which subsidy Applied	Payment made to FI/Bank during the year		Amount of Interest Subsidy Applied	Documents required in support
		Principal	Interest		
1.	Year -I ( )				Certification from FI/Bank required
2.	Year -II ( )				
3-	Year -III ( )				
4-	Year -IV ( )				
5-	Year -V ( )				
	Total				

8.	Particulars of Workers from BPL families with supporting documents (Employee Distinctive Numbers, EPFO Number, BPL Card, Employee Payroll etc.)
9.	Particulars of Workers from SC/ST families with supporting documents and Employee Payroll
10.	Particulars of female workers with supporting documents
11.	Detailed Calculation of Stamp Duty Exemption Availed
12.	Detailed Calculation of Mandi Fee Exemption availed
13.	Detailed Calculation of Electricity Duty Exemption availed

Declaration

The above information are completely true and no fact has been concealed or misrepresented. It is further certified that the company has not applied for benefits of the above nature under any sector-specific or other policy of the Government of Uttar Pradesh for purpose of availing benefits of the above nature.

I/we hereby agree that I/we shall forthwith repay the benefits released to me/us under Rules of Policy for Promotion of Industrial Investment and Employment-2017, if the said benefits are found to be disbursed in excess of the amount actually admissible whatsoever the reason.

Signature of Authorised Signatory with  
Name, Designation and Office Seal

Date:

Place: