

**APPLICATION FORM FOR SANCTION/DISBURSAL OF INCENTIVES  
FOR SMALL, MEDIUM OR LARGE INDUSTRIAL UNDERTAKINGS  
WHERE LETTER OF COMFORT HAS BEEN ISSUED**

**1. Information of Industrial Undertaking**

SI No	Particulars	Details /Documents
i)	Name& Address of the Applicant	
ii)	Location of the Industrial Undertaking	
iii)	Phase-wise details of Actual Investment and dates of start of commercial production (Enclose certificate from concerned Dy. Commissioner, Industries, District Industries & Enterprise Promotion Centre or Chartered Accountant	

**2. Details of Eligible Capital Investment in Industrial Undertaking**

SI No	Item	New/Existing	Expansion/Diversification	% of increase under Expansion/Diversification
i)	Land			
ii)	Building			
iii)	Other Construction			
iv)	Plant and Machinery			
v)	Infrastructure Facilities			
	Total			

**Note:-**

Relevant documents be provided alongwith the Statutory Auditor's Certificate for Capital Investment made as above in accordance with provisions of the Rules/GOs / MSMED Act, 2006

**In case of Large Industrial Undertaking**

- Nodal agency shall arrange examination & certification on Capital Investment made by the company as per the provision of G/O's through its empanelled C/A firms*

2. Nodal agency shall also arrange to get examined the installation & verification of Capital Investment at site (Land, Building & Plant & Machinery) through its empanelled consultants/ valuer/engineer.

The above two reports shall be put up at the stage of commencement of disbursement of benefits before the Competent Committee for determination of the quantum of capital investment.

3. Details of Capital Investment in Industrial Undertaking							Rs. in crore	
Sl No	Item	Cost of Project		Actual Investment (As per certificate from Statutory Auditors)				
		As per DPR	As per Appraisal	Before Cut Off Date	Cut Off date to the date of commencement of commercial production (in case of phase, give investment phase-wise)	Date of commencement of final phase of commercial production to till date		Total
1	2	3	4	5	6	7	8	
i.	Land and Site Development							
ii.	Building and Civil Works							
iii.	Plant and Machinery							
iv.	Miscellaneous Fixed Assets							
v.	Technical Knowhow Fee							
vi.	Interest During Construction Period							
vii.	Prel. And Pre-operative Expenses							
viii.	Margin Money for Working Capital							
	Total							

4.1 REIMBURSEMENT OF DEPOSITED GST			
4.1.1	Details of tax paid under GST Act		Documents Required
i.	GSTIN issued by Commercial Taxes Department		Certificate issued by Competent Authority in

	<ul style="list-style-type: none"> <li>ii. Amount of Total GST deposited for FY .....</li> <li>iii. Amount of admitted Tax on manufactured goods under Deposited GST for FY .....</li> <li>iv. Eligible amount of deposited GST for reimbursement for the FY .....</li> </ul>		support of GST
<u>Documents Required in Support of GST Paid by the Unit</u>			
	<ul style="list-style-type: none"> <li>i. Certificate issued by Competent Authority of the Commercial Taxes Department.</li> <li>ii. Unit level audited accounts for the relevant financial year (for which GST reimbursement is being claimed)</li> <li>iii. GST Audit Report for the relevant financial year for the company</li> <li>iv. GST Audit Report for the relevant financial year for the unit (standalone GST statement/report for the unit certified by a Chartered Accountant)</li> <li>v. CA Certificate for sales reconciliation of Manufactured Goods/Trading goods/Scrap/ Stock Transfer and GST paid towards the same separately.</li> </ul>		
<u>4.2 CAPITAL INTEREST SUBSIDY</u>			
4.2.1	Name & Address of Banks/Financial Institutions from which loan availed		
4.2.2	Amount of loan sanctioned on Investment in Plant & Machinery		Sanction Letter, Agreement with FI/Bank
4.2.3	Rate of Interest		Sanction Letter, Agreement with FI/Bank
4.2.4	Date of Sanction		
4.2.5	Amount of Loan Disbursed towards Investment in Plant & Machinery with dates of Disbursement.		<p>1. Certificate from FI/Bank certifying loan for Plant &amp; Machinery and interest &amp; other relevant details.</p> <p>2. Certificate from FI/Bank for No Default in the account during the entire period for which reimbursement claimed.</p>
4.2.6	<u>Particulars of Claims for Sanction of Capital Interest Subsidy</u>		

	Sl.No.	Year for which subsidy Applied	Payment made to FI/Bank during the year		Amount of Interest Subsidy Applied	Documents required in support
			Principal	Interest		
	1.	Year -I ( )				Certification from FI/Bank required
	2.	Year -II ( )				
	3-	Year -III ( )				
	4-	Year -IV ( )				
	5-	Year -V ( )				
		Total				

4.3 <u>INFRASTRUCTURE INTEREST SUBSIDY</u>			
4.3.1	Name& Address of Banks/Financial Institutions from which loan availed		
4.3.2	Amount of loan sanctioned for Investment in Infrastructure Facilities as defined		Sanction Letter, Agreement with FI/Bank
4.3.3	Rate of Interest		Sanction Letter, Agreement with FI/Bank
4.3.4	Date of Sanction		
4.3.5	Amount of Loan Disbursed towards Investment in Infrastructure Facilities with dates of Disbursement.		<p>1. Certificate from FI/Bank certifying loan for Plant &amp; Machinery and interest&amp; other relevant details.</p> <p>2. Certificate from FI/ Bank for No Default in the account during the entire period for which reimbursement claimed.</p>

4.3.6	<u>Particulars of Claims for Sanction of Infrastructure Interest Subsidy</u>					
	Sl.No.	Year for which subsidy Applied	Payment made to FI/Bank during the year		Amount of Interest Subsidy Applied	Documents required in support
			Principal	Interest		
	1.	Year -I ( )				Certification from FI/Bank required
	2.	Year -II ( )				
	3-	Year -III ( )				
	4-	Year -IV ( )				
	5-	Year -V ( )				
		Total				

<u>5.1 EMPLOYEES PROVIDENT FUND REIMBURSEMENT</u>			
5.1.1	Number of Unskilled Workers with full particulars of 100 unskilled workers and employee wise contributions for relevant year.		Affidavit from the main promoter/Authorized Officer to the effect that all the above particulars are true and that the unit had 100 unskilled workers in its continuous employment for the full period of the relevant year for which application for reimbursement is being filed.
5.1.2	Number of Other Workers		
5.1.3	Total No. of Workers		
5.1.4	Details of Claims for EPF Reimbursement		Month wise details of contributions paid by employer into the EPFO or Employer's PF Trust, which should be certified by the concerned competent officer of EPFO/Competent officer of Trust.

6.1	<u>INTEREST SUBSIDY FOR INDUSTRIAL RESEARCH, QUALITY IMPROVEMENT &amp; DEVELOPMENT OF PRODUCT</u>				
6.1.1	Name & Address of Banks/Financial Institutions from which loan availed				
6.1.2	Amount of loan sanctioned for Establishment of Testing Lab, Quality Certification Lab and Tool Room				Sanction Letter, Agreement with FI/Bank
6.1.3	Rate of Interest				Sanction Letter, Agreement with FI/Bank
6.1.4	Date of Sanction				
6.1.5	Amount of Loan Disbursed towards Investment for Establishment of Testing Lab, Quality Certification Lab and Tool Room with dates of Disbursement.				1. Certificate from Bank certifying loan for Plant & Machinery and interest & other relevant details. 2. Certificate of No Default in the account during the entire period for which reimbursement claimed.
6.2.1	<u>Particulars of Claims for Sanction of Industrial Research, Quality Improvement &amp; Development Subsidy</u>				
	Sl.No.	Year for which subsidy Applied	Payment made to FI during the year		Amount of Interest Subsidy Applied
			Principal	Interest	Documents required in support
	1.	Year -I ( )			Certification from FI/Bank required
	2.	Year -II ( )			
	3-	Year -III ( )			
	4-	Year -IV ( )			
	5-	Year -V ( )			
		Total			

7.	Particulars of Workers from BPL families with supporting documents (Employee Distinctive Numbers, EPFO Number, BPL Card, Employee Payroll etc.)
8.	Particulars of Workers from SC/ST families with supporting documents and Employee Payroll
9.	Particulars of female workers with supporting documents
10.	Detailed Calculation of Stamp Duty Exemption Availed
11.	Detailed Calculation of Mandi Fee Exemption availed
12.	Detailed Calculation of Electricity Duty Exemption availed
	<p style="text-align: center;"><u>Declaration</u></p> <p>The above information are completely true and no fact has been concealed or misrepresented. It is further certified that the company has not applied for benefits of the above nature under any sector-specific or other policy of the Govt of UP for purpose of availing benefits of the above nature.</p> <p>I/we hereby agree that I/we shall forthwith repay the benefits released to me/us under Rules of Policy for Promotion of Industrial Investment and Employment-2017, if the said benefits are found to be disbursed in excess of the amount actually admissible whatsoever the reason.</p> <p style="text-align: right;">Signature of Authorised Signatory with Name, Designation and Office Seal</p> <p>Date: Place:</p>